PERSONAL	FINANCIAL	STATEMENT

## FORM PFS COVER SHEET

For filings requ	n accordance with chapter 572 of the Government Code. ired in 2010, covering calendar year ending December 31, 2009. M PFS—INSTRUCTION GUIDE when completing this form.	ACCOUNT #	190 ,
1 NAME	TITLE; FIRST; MI	OFFICE US	SE ONLY450
	Mr. Royce	Date Received	PI
	NICKNAME; LAST; SUFFIX	RECE	IVED
	Senator West	JUN 2	9 2010
2 ADDRESS	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE	00 2	0 2010
	320 South R.L. Thornton Freeway	Texas Ethics	<b>Commission</b>
	Suite 300 Dallas, Texas 75203	.'	-N
	Durido, Torido 10200	Receipt #	V
	(CHECK IF FILER'S HOME ADDRESS)	HD (EW) AI	mount
<sup>3</sup> TELEPHONE	AREA CODE PHONE NUMBER; EXTENSION	ÖCESSED JUN	V 2 9 2010
NUMBER		Date Imaged	. 2 0 2010
4 REASON			
FOR FILING	CANDIDATE		_ (INDICATE OFFICE)
STATEMENT	1		_ (************************************
			_ (INDICATE OFFICE)
	APPOINTED OFFICER Member, Texas Emancipation Juneteenth Com	nmission	(INDICATE AGENCY)
	EXECUTIVE HEAD		(INDICATE AGENCY)
,	FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT		
	STATE PARTY CHAIR		(INDICATE PARTY)
	OTHER		(INDICATE POSITION)
			,
5 Family members w dependent children	hose financial activity you are reporting (filer must report information about the if the filer had actual control over that activity):	financial activity of the	filer's spouse or
SPOUSE	ol Richard West		
5. 3002			
DEPENDENT C	HILD 1.		
	2		PARTICLE 1
	2		
	3		

In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child if you had actual control over that person's financial activity.



COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

SOURCES OF OCCU	PATIONAL INCOME PART 1A
When reporting information about	a dependent child's activity, indicate the child about whom you are reporting by the child is listed on the Cover Sheet.
1 INFORMATION RELATES TO	FILER SPOUSE DEPENDENT CHILD
<sup>2</sup> EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD  (Check If Filer's Home Address)
✓ EMPLOYED BYANOTHER	State of Texas Senate State Capital 1400 N Congress, Ave. Rm 1E.15 Austin, Texas 78701 State Senator
SELF-EMPLOYED	NATURE OF OCCUPATION  State Senator
INFORMATION RELATES TO	FILER SPOUSE DEPENDENT CHILD
EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD  (Check If Filer's Home Address)
EMPLOYED BY ANOTHER	West & Associates LLP 320 S.R.L. Thornton Freeway Suite 300 Dallas, TX 75203
	Attorney
SELF-EMPLOYED	NATURE OF OCCUPATION  Legal
INFORMATION RELATES TO	FILER SPOUSE DEPENDENT CHILD
EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check If Filer's Home Address)
EMPLOYED BY ANOTHER	Royce West & Associates P. C. 320 R.L. Thornton Freeway Ste 300 Dallas, TX 75203
SELF-EMPLOYED	NATURE OF OCCUPATION  Legal
COPY A	ND ATTACH ADDITIONAL PAGES AS NECESSARY

RETAINERS	PART 1B
☐ NOT APPLICABLE	
your spouse, or a dependent child services on a matter specified at	red as a retainer by you, your spouse, or a dependent child (or by a business in which you have a "substantial interest") for a claim on future services in case of need, rather than the time of contracting for or receiving the feetent information here only if the value of the calendar year did not equal or exceed the value of the calendar year did not equal or exceed the value of the calendar year did not equal or exceed the value of the calendar year did not equal or exceed the value of the calendar year.
When reporting information abou providing the number under which	t a dependent child's activity ,indicate the child about whom you are reporting by h the child is listed on the Cover Sheet.
FEE RECEIVED FROM	NAME AND ADDRESS  Texas Instruments 12500 TI Boulevard Dallas, TX 75243
FEE RECEIVED BY	NAME OF BUSINESS
	FILER OR FILER'S BUSINESS  West & Associates, LLP
	SPOUSE OR SPOUSE'S BUSINESS
	DEPENDENT CHILD OR CHILD'S BUSINESS
FEE AMOUNT	LESS THAN \$5,000 \$5,000-\$9,999 \$10,000-\$24,999 \$25,000OR MORE
FEE RECEIVED FROM	NAME AND ADDRESS
FEE RECEIVED BY	NAME OF BUSINESS
	FILER OR FILER'S BUSINESS
	SPOUSE OR SPOUSE'S BUSINESS
	DEPENDENT CHILD OR CHILD'S BUSINESS
FEE AMOUNT	LESS THAN \$5,000 \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE
COPY A	AND ATTACH ADDITIONAL PAGES AS NECESSARY

**CITIGROUP** 

LESS THAN 100

5,000 TO 9,999

✓ LESS THAN \$5,000

SPOUSE

100 TO 499

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

☐ 10,000 OR MORE

DEPENDENT CHILD .

☐ 500 TO 999

**✓** FILER

STOCK HELD OR ACQUIRED BY

NET GAIN

**V** NET LOSS

NUMBER OF SHARES

IF SOLD

✓ 1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the numl ount of the net ga		acquired.If some or	all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	WALMART	N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
<sup>3</sup> NUMBER OF SHA	ARES	LESS THAN 100	☑ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
4 IF SOLD	NET GAIN NET LOSS	✓ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	CHART INDS INC	N/A	ME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	LESS THAN 100 5,000 TO 9,999	<ul><li>✓ 100 TO 499</li><li>✓ 10,000 OR MOR</li></ul>	☐ 500 TO 999 E	1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
BUSINESS ENTIT	Υ			ME	
		DIANA SHIPPING IN	IC	·	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	
	ACQUIRED BY		IC	DEPENDENT CHIL	_D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA IF SOLD	ACQUIRED BY ARES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY  RES  NET GAIN NET LOSS  Y  ACQUIRED BY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  FANNIE MAE  FILER  LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY  RES  NET GAIN NET LOSS  Y  ACQUIRED BY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  FANNIE MAE  FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FANNIE MAE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET LOSS  Y	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  FANNIE MAE  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FANNIE MAE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FREDDIEMAC ☐ FILER ☐ LESS THAN 100 ☐ LESS THAN 100	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FANNIE MAE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FREDDIEMAC ☐ FILER	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICA	ABLE				
and indicate the cate	egory of the numl ount of the net ga		cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Y	GENERAL ELECTRI		ME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
<sup>3</sup> NUMBER OF SHA	RES	LESS THAN 100	<ul><li>✓ 100 TO 499</li><li>☐ 10,000 OR MOR</li></ul>	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	HIMAX TECHNOLO		ME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	✓ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
	<b></b>				
BUSINESS ENTITY	Y	INTL PAPER CO	ŊA	ME	
BUSINESS ENTITY STOCK HELD OR		INTL PAPER CO	NA SPOUSE	ME DEPENDENT CHIL	_D
	ACQUIRED BY			DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHA	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☑ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A NUMBER OF SHA	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999 E	☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAI IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000\$24,999	1,000 TO 4,999 \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHA	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 PNC FINCL SERVICE	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000\$24,999	1,000 TO 4,999 \$25,000-OR MORE
STOCK HELD OR A  NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  ES GROUP  SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR A  NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  ES GROUP  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E	1,000 TO 4,999  \$25,000-OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHA  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  ES GROUP  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR A  NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ES GROUP ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR A  NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PNC FINCL SERVICE ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MOR □ \$5,000—\$9,999  ES GROUP □ SPOUSE □ 10,000 OR MOR □ \$5,000—\$9,999  NA □ SPOUSE □ 100 TO 499 □ 10,000 OR MOR	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE

(512) 463-5800

STOCK					PART 2
☐ NOT APPLICA	ABLE				
and indicate the cate	egory of the numl ount of the net ga	u, your spouse, or a de per of shares held or a in or loss realized from	cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
When reporting infor providing the number	mation about a c r under which the	lependent child's active child is listed on the	ity ,indicate the o	child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTITY	1	WELLS FARGO	NA	ME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
3 NUMBER OF SHAI	RES	LESS THAN 100	☐ 100 TO 499	<b>☑</b> 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	<i>(</i>	WINDSTREAM	NA	ME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAI	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	✓ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	(	AGNICO EAGLE MII		ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAI	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	7			ME	
		ALLEGHENY TECH			
STOCK HELD OR	,	FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	RES	LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
45.004.D		5,000 TO 9,999	10,000 OR MOR		
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY	1	AVON PROD INC	NA	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	☐ \$25,000-OR MORE
		Y AND ATTACH ADDITIO	MAL DACES AS NE	CECCADV	

4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	CANADIAN PACIFI		AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	D
NUMBER OF SHA	ARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	RE	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	CERNER CORP	N/	AME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000-OR MORE
	NET LOSS				
BUSINESS ENTIT		(			
BUSINESS ENTIT	Y	CHICOS FAS INC	NA	AME	
STOCK HELD OR		CHICOS FAS INC	SPOUSE	DEPENDENT CHIL	.D
	ACQUIRED BY	_ <u></u>			.D 
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	
STOCK HELD OR	ACQUIRED BY	FILER LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY  RES  NET GAIN  NET LOSS	FILER  LESS THAN 100  5,000 TO 9,999	☐ SPOUSE  ☑ 100 TO 499  ☐ 10,000 OR MOR  ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	☐ SPOUSE  ☑ 100 TO 499  ☐ 10,000 OR MOR  ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000-\$24,999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY  RES  NET GAIN NET LOSS  Y  ACQUIRED BY	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 CHURCH & DWIGHT	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA	ACQUIRED BY  RES  NET GAIN NET LOSS  Y  ACQUIRED BY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  CHURCH & DWIGHT	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  CCD INC  SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT STOCK HELD OR	ACQUIRED BY  RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CHURCH & DWIGHT ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  CD INC  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   DEPENDENT CHIL  500 TO 999  E	1,000 TO 4,999  \$25,000-OR MORE

STOCK					PART 2
☐ NOT APPLICA	ABLE				
and indicate the cat	egory of the numbount of the net ga		cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	CISCO SYSTEMS IN		AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	
3 NUMBER OF SHA	RES	LESS THAN 100	☑ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	COGNIZANT TECH		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	LESS THAN 100	✓ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	■ \$25,000-OR MORE
BUSINESS ENTIT	Y			AME	
.,		COM PASS MINERA	LS INTL		
STOCK HELD OR	ACQUIRED BY	☑ FILER	LS INTL  SPOUSE	DEPENDENT CHIL	
.,	ACQUIRED BY		LS INTL	DEPENDENT CHIL	_D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	LS INTL SPOUSE 100 TO 499	DEPENDENT CHIL 500 TO 999 E	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	LS INTL SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999	DEPENDENT CHIL 500 TO 999 E	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	LS INTL SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100	LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER	LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	LS INTL  □ SPOUSE □ 100 TO 499 □ 10,000 OR MOR □ \$5,000—\$9,999  NA □ SPOUSE □ 100 TO 499 □ 10,000 OR MOR □ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   ME  DEPENDENT CHIL  500 TO 999  E	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS  Y	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	LS INTL  □ SPOUSE □ 100 TO 499 □ 10,000 OR MOR □ \$5,000—\$9,999  NA □ SPOUSE □ 100 TO 499 □ 10,000 OR MOR □ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORRECTIONS COR	LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  SPOUSE  10,000 OR MOR  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR STOCK HEND OR STOCK HELD OR STOC	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORRECTIONS COR	LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  RP OF AMER  SPOUSE	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR STOCK HEND OR STOCK HELD OR STOC	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORNING INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CORRECTIONS COR ☐ FILER ☐ LESS THAN 100	LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  SPOUSE  10,000 OR MOR  \$5,000—\$9,999  PAP OF AMER  SPOUSE  100 TO 499  1100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999  \$25,000—OR MORE  1,000 TO 4,999

Austin, Texas 78711-2070

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the num ount of the net ga		acquired.If some o	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	ſΥ	DIGITAL RLTY TR I		AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	ARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 EE	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	DISCOVERY COMM		AME RIES A	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000-OR MORE
BUSINESS ENTIT		DOW CHEMICALS C		AME	
BUSINESS ENTIT	Y	DOW CHEMICALS O		AME DEPENDENT CHIL	_D
	Y ACQUIRED BY		<u> </u>	DEPENDENT CHIL	LD 1,000 TO 4,999
STOCK HELD OR	Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS Y  ACQUIRED BY	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  DR REED S LAB LT	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DR REED S LAB LT	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  TD ADR  SPOUSE	DEPENDENT CHIL  500 TO 999  500 TO 999  500 TO 999  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS Y  ACQUIRED BY	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  DR REED S LAB LT FILER LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  TD ADR  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  500 TO 999  500 TO 999  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET GAIN NET GAIN NET GAIN	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  DR REED S LAB LT FILER LESS THAN 100 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  TD ADR  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET GAIN NET GAIN NET GAIN	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  DR REED S LAB LT FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  TD ADR  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  \$10,000-\$24,999  DEPENDENT CHIL  500 TO 999  \$ \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DR REED S LAB LT ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  EASTMAN CHEMIC.	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  TD ADR  SPOUSE  10,000 OR MOR  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DR REED S LAB LT ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  EASTMAN CHEMIC. ☐ FILER	SPOUSE  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  TD ADR  SPOUSE  10,000 OR MOR  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES  NET GAIN NET LOSS Y ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY ARES ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DR REED S LAB LT ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  EASTMAN CHEMIC. ☐ FILER ☐ LESS THAN 100	EO   SPOUSE   100 TO 499   10,000 OR MOR   \$5,000—\$9,999   10,000 OR MOR   10,000 OR MOR   10,000 OR MOR   \$5,000—\$9,999   AL CO   SPOUSE   100 TO 499   100 TO 490   100 TO 490   100 TO 490   100 TO 490   100 TO 4	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE

1-800-325-8506

STOCK					PART 2
☐ NOT APPLICA	ABLE				
and indicate the cate	egory of the numb ount of the net ga		cquired.If some or	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom ye	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Y	FMCTECH'S INC	N	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	LD
<sup>3</sup> NUMBER OF SHA	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	FRAC KINDER MOR		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☑ 10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	Y			AME	
		FREEPRT-MCMRAN	CPR & GLD		
STOCK HELD OR	ACQUIRED BY	FREEPRT-MCMRAN  FILER	CPR & GLD	DEPENDENT CHIL	.D
STOCK HELD OR A				DEPENDENT CHIL	
		☑ FILER	SPOUSE	☐ 500 TO 999	
		☑ FILER ☑ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	☐ 500 TO 999	
NUMBER OF SHAI	RES  NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	500 TO 999 E	1,000 TO 4,999
NUMBER OF SHAI	RES  NET GAIN  NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ 500 TO 999 E ☐ \$10,000—\$24,999	1,000 TO 4,999 \$25,000—OR MORE
IF SOLD BUSINESS ENTITY	RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  GANNETT CO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	□ 500 TO 999 E □ \$10,000—\$24,999	1,000 TO 4,999 \$25,000—OR MORE
IF SOLD BUSINESS ENTITY STOCK HELD OR A	RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA	500 TO 999  E \$10,000-\$24,999  ME DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE
IF SOLD BUSINESS ENTITY STOCK HELD OR A	RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☑ 100 TO 499	500 TO 999  E \$10,000-\$24,999  ME DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE
BUSINESS ENTITY STOCK HELD OR A	RES  NET GAIN  NET LOSS  ACQUIRED BY  RES  NET GAIN  NET GAIN  NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	500 TO 999  E \$10,000—\$24,999  ME DEPENDENT CHIL 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
NUMBER OF SHAPE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAPE  IF SOLD	RES  NET GAIN  NET LOSS  ACQUIRED BY  RES  NET GAIN  NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	500 TO 999     500 TO 999     \$10,000	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAIL  IF SOLD  BUSINESS ENTITY	RES  NET GAIN  NET LOSS  ACQUIRED BY  RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GIVAUDAN SA UNS	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  P ADR	500 TO 999     500 TO 999     \$10,000-\$24,999     DEPENDENT CHIL   500 TO 999     \$10,000-\$24,999     ME	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
BUSINESS ENTITY STOCK HELD OR A BUSINESS ENTITY STOCK HELD OR A BUSINESS ENTITY STOCK HELD OR A	RES  NET GAIN  NET LOSS  ACQUIRED BY  RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GANNETT CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GIVAUDAN SA UNS ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
BUSINESS ENTITY STOCK HELD OR A BUSINESS ENTITY STOCK HELD OR A BUSINESS ENTITY STOCK HELD OR A	RES  NET GAIN  NET LOSS  ACQUIRED BY  RES  NET GAIN  NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  GANNETT CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  GIVAUDAN SA UNS ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  PADR ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE

STOCK					PART 2
NOT APPLIC	CABLE				
and indicate the ca	tegory of the num ount of the net ga		acquired.If some o	r all of the stock was	during the calendar yeas sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	HDFC BANK LTD	N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES		☑LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	HEWITT ASSOCIAT		AME	
STOCK HELD OR	ACQUIRED BY	<b>☑</b> FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	ICIC BANK LTD	N/A	ME	
BUSINESS ENTIT		ICIC BANK LTD	NA ☐ SPOUSE	ME DEPENDENT CHIL	_D
	ACQUIRED BY				_D 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	
STOCK HELD OR	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY  RES  NET GAIN  NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999 E	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA IF SOLD	ACQUIRED BY ARES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	FILER LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  THS INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999  E \$10,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ HS INC ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ HS INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ HS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY IRES INET GAIN INET LOSS Y	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ HS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  IHS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFO SYS TECH LTD	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  IHS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFO SYS TECH LTD ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORI ☐ \$5,000—\$9,999  NA	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ □ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY IRES INET GAIN INET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  IHS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFO SYS TECH LTD ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORI ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ □ \$25,000—OR MORE

STOCK					PART 2
☐ NOT APPLIC	ABLE				
and indicate the cal	egory of the numbount of the net ga		acquired.If some or	r all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	INTL BUSINESS MA		AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHA	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	INTL FLA <b>V</b> ORS & F		AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	ITP ON INC	N/	AME	
STOCK HELD OR	ACOURED BY	ITRON INC	SPOUSE	DEPENDENT CHIL	<b>D</b>
	~~~~		☐ 3FOO3E	500 TO 999	1,000 TO 4,999
NUMBER OF SHA	KE2	☑ LESS THAN 100 ☐ 5,000 TO 9,999	10,000 OR MOR		1,000 10 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000—OR MORE
BUSINESS ENTIT	Y	J CREW GROUP INC		ME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	RES	LESS THAN 100	100 TO 499 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000—OR MORE
BUSINESS ENTIT	Y	MICRO CHIP TECH	N/	ME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	NET GAIN	5,000 TO 9,999	\$5,000—\$9,999	\$10,000\$24,999	\$25,000-OR MORE

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1-800-325-8506

\$5,000-\$9,999

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

LESS THAN \$5,000

NET LOSS

(512) 463-5800

STOCK					PART 2
☐ NOT APPLICA	ABLE				
and indicate the cate	egory of the numb unt of the net ga	ı, your spouse, or a de per of shares held or a in or loss realized from	cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		lependent child's active child is listed on the (		child about whom yo	ou are reporting by
1 BUSINESS ENTITY	<i>(</i>	SOUTH WESTERN E		ME	
<sup>2</sup> STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHAP	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	<del></del>	STERICYCLE IN		ME	
STOCK HELD OR A	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF	RES	☑ LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY	(	TATA MOTORS LTD		ME	
		TATA MOTORS LTD		ME DEPENDENT CHIL	.D
STOCK HELD OR A	ACQUIRED BY	☑ FILER			.D ☐ 1,000 TO 4,999
	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL 500 TO 999 E	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAP IF SOLD [ BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  L INDS  SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999  ME  DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR A NUMBER OF SHAP IF SOLD [ BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  L INDS  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY RES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  L INDS  SPOUSE	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR A  NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  L INDS  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  L INDS  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  L INDS  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TRANSDIGM	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  L INDS  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000-\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TRANSDIGM ☐ FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  L INDS  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TEUA PHARMACTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TRANSDIGM ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  L INDS  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D

☐ 10.000 OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

\$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE

5,000 TO 9,999

LESS THAN \$5,000

NET GAIN

NET LOSS

IF SOLD

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the numl ount of the net ga		acquired.If some or	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	ſΥ	WHIRLPOOL CORP	N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
3 NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD	NET GAIN	5,000 TO 9,999  LESS THAN \$5,000	10,000 OR MOR \$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
	NET LOSS				
BUSINESS ENTIT	ΓY	WMS INDS INC	N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	RE	·
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
		i e			
BUSINESS ENTIT	ΓY	ISHARES COMEX G		AME	
BUSINESS ENTIT		ISHARES COMEX G		AME DEPENDENT CHII	LD
	ACQUIRED BY	<u> </u>	OLD		LD 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☑ FILER	OLD SPOUSE	DEPENDENT CHII	
STOCK HELD OR	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	OLD SPOUSE 100 TO 499	DEPENDENT CHII	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999	DEPENDENT CHII	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999	DEPENDENT CHII 500 TO 999  EE 310,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 INTL BUSINESS MA	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999	DEPENDENT CHII  500 TO 999  EE  \$10,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 INTL BUSINESS MA	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999	DEPENDENT CHILD 500 TO 999  EE \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL BUSINESS MA ✓ FILER ✓ LESS THAN 100	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999  EE \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 INTL BUSINESS MA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999	DEPENDENT CHILD 500 TO 999  E \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999  EE	1,000 TO 4,999  \$25,000—OR MORE  LD  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY ARES  V NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 INTL BUSINESS MA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  RE  \$10,000—\$24,999	1,000 TO 4,999  \$25,000—OR MORE  LD  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY ARES  VINET GAIN NET LOSS  TY ACQUIRED BY ARES  ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL BUSINESS MA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 10,000 OR MOR 10,000 OR MOR S5,000—\$9,999	DEPENDENT CHII  500 TO 999  EE  \$10,000—\$24,999  AME  DEPENDENT CHII  500 TO 999  RE  \$10,000—\$24,999	1,000 TO 4,999  \$25,000—OR MORE  LD  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY ARES  VINET GAIN NET LOSS  TY ACQUIRED BY ARES  ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL BUSINESS MA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ FILER	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999	DEPENDENT CHII  500 TO 999  EE  \$10,000—\$24,999  AME  DEPENDENT CHII  500 TO 999  EE  \$10,000—\$24,999  AME  DEPENDENT CHII  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  LD  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY ARES  VINET GAIN NET LOSS  TY ACQUIRED BY ARES  ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL BUSINESS MA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ FILER ☐ LESS THAN 100	OLD SPOUSE 100 TO 499 10,000 OR MOR \$5,000—\$9,999  ACHINES SPOUSE 10,000 OR MOR \$5,000—\$9,999  SPOUSE 10,000 OR MOR SPOUSE 10,000 OR MOR	DEPENDENT CHII  500 TO 999  EE  \$10,000—\$24,999  AME  DEPENDENT CHII  500 TO 999  EE  \$10,000—\$24,999  AME  DEPENDENT CHII  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  LD  1,000 TO 4,999  \$25,000—OR MORE

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the numl ount of the net ga		cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		lependent child's active child is listed on the	•	child about whom ye	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	ALLEGHENY TECH		ME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHA	ARES	✓ LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	BJS WHOLESALES		ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	Е	
IF SOLD	NET GAIN	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	COMCAST CORP	NA	ME	
BUSINESS ENTIT		COMCAST CORP	N/	ME DEPENDENT CHIL	_D
A4	ACQUIRED BY			DEPENDENT CHIL	_D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☑ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER  ☐ LESS THAN 100  ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000—\$24,999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DIRECT TV ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DIRECT TV ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DIRECT TV ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000—\$24,999   ME  DEPENDENT CHIL  500 TO 999  E	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ARES  INET GAIN NET LOSS  Y	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DIRECT TV ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000—\$24,999   DEPENDENT CHIL  500 TO 999   \$10,000—\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DIRECT TV ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ GENERAL ELECTRI	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  N/  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  CC	DEPENDENT CHIL  500 TO 999  \$10,000-\$24,999  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DIRECT TV ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  GENERAL ELECTRI ☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  CC ☐ SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000—\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999   ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE ☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE ☐
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ARES  INET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DIRECT TV ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  GENERAL ELECTRI ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  N/ ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  C ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000—\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999   ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLIC	ABLE				
and indicate the cat	egory of the numl ount of the net ga		cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		lependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	HUMAN GENOME S		ME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHA	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	✓ NET GAIN  NET LOSS	✓ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	HEWITT ASSOCIAT		ME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	RES	LESS THAN 100		☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	☑ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	HESS CORP	NA	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
				☐ 500 TO 999	1,000 TO 4,999
NUMBER OF SHA	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	E	
NUMBER OF SHA	RES  NET GAIN  NET LOSS			E \$10,000—\$24,999	\$25,000-OR MORE
	✓ NET GAIN  ■ NET LOSS	☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000	10,000 OR MOR \$5,000-\$9,999		\$25,000-OR MORE
IF SOLD BUSINESS ENTIT	✓ NET GAIN  ☐ NET LOSS  Y	☐ 5,000 TO 9,999  ☑ LESS THAN \$5,000  ITRON INC	10,000 OR MOR \$5,000-\$9,999	\$10,000—\$24,999	
IF SOLD  BUSINESS ENTIT  STOCK HELD OR	NET GAIN NET LOSS Y ACQUIRED BY	☐ 5,000 TO 9,999  ☑ LESS THAN \$5,000  ITRON INC ☑ FILER	10,000 OR MOR  \$5,000-\$9,999	\$10,000—\$24,999	.D
IF SOLD BUSINESS ENTIT	NET GAIN NET LOSS Y ACQUIRED BY	☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  ITRON INC  ✓ FILER  ✓ LESS THAN 100	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	
BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA	NET GAIN NET LOSS Y ACQUIRED BY RES	☐ 5,000 TO 9,999  ☑ LESS THAN \$5,000  ITRON INC ☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E	
IF SOLD  BUSINESS ENTIT  STOCK HELD OR	NET GAIN NET LOSS Y ACQUIRED BY	☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  ITRON INC  ✓ FILER  ✓ LESS THAN 100	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	.D
BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA	✓ NET GAIN  ☐ NET LOSS  Y  ACQUIRED BY  RES  ✓ NET GAIN  ☐ NET LOSS	☐ 5,000 TO 9,999  ☑ LESS THAN \$5,000  ITRON INC ☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E	
IF SOLD  BUSINESS ENTIT  STOCK HELD OR  NUMBER OF SHA	✓ NET GAIN  NET LOSS  Y  ACQUIRED BY  RES  ✓ NET GAIN  NET LOSS  Y	☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  ITRON INC  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	
BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA	✓ NET GAIN  NET LOSS  Y  ACQUIRED BY  RES  ✓ NET GAIN  NET LOSS  Y  ACQUIRED BY	☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFOSYS TECH LTE	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	
BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT STOCK HELD OR	✓ NET GAIN  NET LOSS  Y  ACQUIRED BY  RES  ✓ NET GAIN  NET LOSS  Y  ACQUIRED BY	☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFOSYS TECH LTD ☐ FILER	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  10,000 OR MOR  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	_D
BUSINESS ENTIT STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT STOCK HELD OR	✓ NET GAIN  NET LOSS  Y  ACQUIRED BY  RES  ✓ NET GAIN  NET LOSS  Y  ACQUIRED BY	☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INFOSYS TECH LTD ☐ FILER ☐ LESS THAN 100	10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPOUSE  100 TO 499	\$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  ME  DEPENDENT CHIL  500 TO 999	_D

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the num ount of the net ga		acquired.If some or	r all of the stock was	during the calendar yeas sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom ye	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	ICIC BANK LTD	N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	ARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	INTL BUSINESS MA		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	INTL FLAVORS ANI		AME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 EE	☐ 1,000 TO 4,999
IF SOLD	✓ NET GAIN  ■ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	JP MORGAN CHASE		AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	☑ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	KINDER MORGAN		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	✓ NET GAIN  ■ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
	COP	Y AND ATTACH ADDITIO	NAL PAGES AS NE	CESSARY	

Austin, Texas 78711-2070

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the cat	tegory of the num ount of the net ga		acquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		lependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	LIBERTY MDIA CO		AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
3 NUMBER OF SHA	ARES	✓ LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	✓ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	McDERMOTT IATL	N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		□ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	N VIDIA	N/	AME	
BUSINESS ENTIT		N VIDIA  ☑ FILER	N/	DEPENDENT CHIL	_D
	ACQUIRED BY			DEPENDENT CHIL	_D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 EE ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000 PENN NATL GAMIN	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHILL  500 TO 999  E  \$10,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR  NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000 PENN NATL GAMIN ✓ FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  IG CORP  SPOUSE	DEPENDENT CHIL  500 TO 999  5E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR  NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000  PENN NATL GAMIN ✓ FILER ✓ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  IG CORP  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  5E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  PENN NATL GAMIN  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  IG CORP  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHILL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY ARES  INET GAIN INET LOSS  Y	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000  PENN NATL GAMIN ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  IG CORP  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY	✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  PENN NATL GAMIN  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  PETROLED BRAS S.	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NOTO TO 499  10,000 OR MOR  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY	✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  PENN NATL GAMIN  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  PETROLED BRAS S.  ✓ FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  IG CORP  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY ARES  INET GAIN INET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PENN NATL GAMIN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETROLED BRAS S.☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  IG CORP  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  A  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999

List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale.  For more information, see FORM PFS—INSTRUCTION GUIDE					
When reporting info providing the number	rmation about a c er under which the	lependent child's active child is listed on the	ity ,indicate the Cover Sheet.	child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	PETRIEO	BRAS VIO	\$ 590	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	RES	LESS THAN 100	100 TO 499	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	S25,000-OR MORE
BUSINESS ENTIT	Y	PEABADY	ENECGY"	SP SP	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	
NUMBER OF SHA	RES	LESS THAN 100	100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN	SLESS THAN \$5,000	<b>55,000-\$9,999</b>	☐ \$10,000—\$24,999	S25,000-OR MORE
BUSINESS ENTIT	Υ	Propie	N N	WE	· · · · · · · · · · · · · · · · · · ·
STOCK HELD OR	ACCUIRED BY	PERRIG.	_	Пососыятья	
		FILER	SPOUSE	DEPENDENT CHI	
NUMBER OF SHA	KES	LESS THAN 100 5.000 TO 9.999	☐ 10,000 OR MOR	∐ 500 TO 999 E	∐ 1,000 TO 4,999
IF SOLD	NET GAIN	LESS THAN \$5,000	☐ \$5,000-S9,999	S10.000-\$24,999	☐ \$25,000-OR MORE
BUSINESS ENTIT	Υ .	POTHSHC	SPZ SUŠ	EATCHEL	JAN
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		Eee,e OT 000,5	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	SALESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	REGAL R	BE40TC	ME BRP WJ	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	RES	LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	······································	9,999 OT 000,5	☐ 10,000 OR MOR	E	
IF SOLD	NET GAIN	X LESS THAN \$5,000	\$5,000-\$9,999	S10,000-\$24,999	S25,000-OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Revised 10/01/2009

STOCK					PART 2
☐ NOT APPLI	CABLE				
and indicate the ca	tegory of the num ount of the net ga		acquired.If some o	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTI	ΓΥ	STERICYCLE INC	N <sub>i</sub>	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	ARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD	✓ NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	ΓΥ	SEMPRA ENERGY	N/	AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	✓ NET GAIN  NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT		SCHLUMBERGER	N/	AME	
BUSINESS ENTIT	TY .	SCHLUMBERGER	N/	AME  DEPENDENT CHIL	_D
	ACQUIRED BY			DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999 ✓ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 EE ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999 ✓ LESS THAN \$5,000  TYCO INTL LTD NA	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 EE ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TYCO INTL LTD NA ☐ FILER	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  MEN-AKT SPOUSE	DEPENDENT CHILD 500 TO 999  E \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y  ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999 ✓ LESS THAN \$5,000  TYCO INTL LTD NA ✓ FILER ✓ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NAMEN-AKT SPOUSE  100 TO 499	DEPENDENT CHILD 500 TO 999  E \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  TY  ACQUIRED BY ARES  V NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999 ✓ LESS THAN \$5,000  TYCO INTL LTD NA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NAMEN-AKT SPOUSE 100 TO 499 10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHILD 500 TO 999 EE \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  ACQUIRED BY ARES  ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ✓ 5,000 TO 9,999 ✓ LESS THAN \$5,000  TYCO INTL LTD NA ✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NAMEN-AKT SPOUSE 100 TO 499 10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHILL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHILL  500 TO 999  E  \$10,000-\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TYCO INTL LTD NA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WAL-MART STORE	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  MEN-AKT SPOUSE 10,000 OR MOR  10,000 OR MOR  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TYCO INTL LTD NA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WAL-MART STORE	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NAMEN-AKT SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  NET GAIN NET LOSS  Y ACQUIRED BY ARES  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TYCO INTL LTD NA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WAL-MART STORE ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  MEN-AKT SPOUSE 100 TO 499 10,000 OR MOR \$5,000-\$9,999  S SPOUSE 100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE

STOCK					PART 2
☐ NOT APPLI	CABLE				
and indicate the ca	ategory of the num nount of the net ga		acquired.If some o	r all of the stock was	during the calendar yeas sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTI	TY	WASHINGTON POS		AME	
<sup>2</sup> STOCK HELD OF	R ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
<sup>3</sup> NUMBER OF SH	ARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD	✓ NET GAIN  ■ NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTI	ΤΥ	WELLS FARGO & C		AME	
STOCK HELD OF	R ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
,		☐ 5,000 TO 9,999	10,000 OR MOR	RE	
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000-OR MORE
	·	<u> </u>			
BUSINESS ENTI	ΓY	AKAMAI TECHNOL		AME	
BUSINESS ENTI STOCK HELD OF		AKAMAI TECHNOL		AME DEPENDENT CHII	LD
	ACQUIRED BY		OGIES INC	···	LD 1,000 TO 4,999
STOCK HELD OF	ACQUIRED BY	☑ FILER	OGIES INC	DEPENDENT CHII	
STOCK HELD OF	ACQUIRED BY	☑ FILER ☐ LESS THAN 100	OGIES INC SPOUSE 100 TO 499	DEPENDENT CHII	
STOCK HELD OF NUMBER OF SHA	R ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHII	1,000 TO 4,999
STOCK HELD OF NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHII 500 TO 999 EE \$10,000-\$24,999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  TY  RACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHII  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  TY  RACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE	DEPENDENT CHILD 500 TO 999  E \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  TY  RACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE  100 TO 499	DEPENDENT CHILD 500 TO 999  E \$10,000—\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	R ACQUIRED BY ARES  NET GAIN NET LOSS  R ACQUIRED BY ARES  NET GAIN NET GAIN NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHILD 500 TO 999 EE \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999 EE	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OF NUMBER OF SHA	R ACQUIRED BY ARES  NET GAIN NET LOSS  TY R ACQUIRED BY ARES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHILL 500 TO 999  E \$10,000-\$24,999  AME DEPENDENT CHILL 500 TO 999  E \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OF NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	R ACQUIRED BY ARES  NET GAIN NET LOSS  TY R ACQUIRED BY ARES NET GAIN NET LOSS  TY R ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FPLGROUP INC	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE  10,000 OR MOR  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000—\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA  IF SOLD  BUSINESS ENTITE  STOCK HELD OF SHA  IF SOLD  BUSINESS ENTITE  STOCK HELD OF SHA	R ACQUIRED BY ARES  NET GAIN NET LOSS  TY R ACQUIRED BY ARES NET GAIN NET LOSS  TY R ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FPLGROUP INC ☐ FILER	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  LS INTL  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHILD 500 TO 999  EE \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999  EE \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OF NUMBER OF SHA  IF SOLD  BUSINESS ENTITE  STOCK HELD OF SHA  IF SOLD  BUSINESS ENTITE  STOCK HELD OF SHA	R ACQUIRED BY ARES  NET GAIN NET LOSS  TY R ACQUIRED BY ARES NET GAIN NET LOSS  TY R ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  COMPASS MINERAL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FPLGROUP INC ☐ FILER ☐ LESS THAN 100	OGIES INC  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHILD 500 TO 999  EE \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999  EE \$10,000-\$24,999  AME DEPENDENT CHILD 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE

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COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	itegory of the numl rount of the net ga		cquired.If some or	r all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTI	ГҮ	PROSHARES SHOR		AME	
<sup>2</sup> STOCK HELD OF	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
3 NUMBER OF SHA	ARES	✓ LESS THAN 100	100 TO 499 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN  ✓ NET LOSS	✓ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTI	ΓΥ	GOODYEAR TIRE R		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	✓ LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	NET GAIN  ✓ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTI	ΓY	McDONALD CORP	N/	AME	
BUSINESS ENTIT	· · · · · · · · · · · · · · · · · · ·	McDONALD CORP  ☑ FILER	N/ ☐ SPOUSE	AME  DEPENDENT CHIL	_D
	ACQUIRED BY	☑ FILER ☑ LESS THAN 100		DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL 500 TO 999 E \$10,000-\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  OLD DOMINION FG	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  HT LINES	☐ DEPENDENT CHIL ☐ 500 TO 999 EE ☐ \$10,000—\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000  OLD DOMINION FG	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  HT LINES  SPOUSE	DEPENDENT CHIL  500 TO 999  5E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY	✓ FILER ✓ LESS THAN 100 ☐ 5,000 TO 9,999 ✓ LESS THAN \$5,000  OLD DOMINION FO ✓ FILER ✓ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  HT LINES  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  5E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999 \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY ARES  NET GAIN NET LOSS  ACQUIRED BY ARES  NET GAIN NET GAIN NET LOSS	✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  OLD DOMINION FO  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  HT LINES  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ACQUIRED BY ARES  NET GAIN NET GAIN NET LOSS	✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000  OLD DOMINION FO  ✓ FILER  ✓ LESS THAN 100  ☐ 5,000 TO 9,999  ✓ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999  NA  HT LINES  SPOUSE  100 TO 499  10,000 OR MOR  \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ARES  NET GAIN NET GAIN NET LOSS  TY ARES  ACQUIRED BY ARES  ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DOMINION FG☐ ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PENN NATL GAMIN☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  HT LINES ☐ SPOUSE ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  IG ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY ARES  NET GAIN NET LOSS  TY ARES  NET GAIN NET GAIN NET LOSS  TY ARES  ACQUIRED BY ARES  ACQUIRED BY ARES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DOMINION FG☐ ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PENN NATL GAMIN☐ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999  HT LINES ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000—\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999  E  \$10,000-\$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999  \$25,000—OR MORE

STOCK					PART 2
☐ NOT APPLIC	CABLE				
and indicate the ca	tegory of the num ount of the net ga		acquired.If some o	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom y	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	ГҮ	RAL CORP HLDGS		AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN  ✓ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	ГҮ	STERICYCLE INC	N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	ARES	LESS THAN 100	 ☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN  ✓ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	RADIO ONE INC CL		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	☑ 10,000 OR MOR	E	
IF SOLD	■ NET GAIN  ■ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	<b>☑</b> \$25,000–OR MORE
BUSINESS ENTIT	Υ	TIME WARNER INC		AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
-		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN  ✓ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	TIME WARNER CAI		AME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	ARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	☐ NET GAIN ✓ NET LOSS	☑ LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE

STOCK					PART 2
☐ NOT APPLIC	:ABLE				
and indicate the cat	tegory of the numl ount of the net ga		acquired.If some or	r all of the stock was	during the calendar yea s sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	OCCIDENTAL PETE	the state of the s	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
<sup>3</sup> NUMBER OF SHA	RES	✓ LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	PENN NATL GAMIN		AME	
STOCK HELD OR	ACQUIRED BY	<b>☑</b> FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		□ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000—OR MORE
BUSINESS ENTITY					
BUSINESS ENTIT	Υ	PERRIGO CO	NA	AME	
BUSINESS ENTIT	WW. 10-2	PERRIGO CO	NA SPOUSE	AME  DEPENDENT CHIL	.D
	ACQUIRED BY	☑ FILER ☑ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000-\$9,999	DEPENDENT CHIL 500 TO 999 E	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☑ FILER ☑ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MOR ☐ \$5,000-\$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 E ☐ \$10,000\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA IF SOLD BUSINESS ENTIT	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO	SPOUSE  100 TO 499  10,000 OR MORI \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
STOCK HELD OR  NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO	SPOUSE  100 TO 499  10,000 OR MORI  \$5,000-\$9,999  NA  SPD SPOUSE	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR  NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPD SPOUSE 100 TO 499	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPD SPOUSE 100 TO 499 10,000 OR MORI  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MOR  \$5,000-\$9,999  NA  SPD SPOUSE 100 TO 499 10,000 OR MORI  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999   \$10,000\$24,999   ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET GAIN NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRAS SA	SPOUSE  100 TO 499  10,000 OR MORI  \$5,000-\$9,999  NA  SPD  SPOUSE  100 TO 499  10,000 OR MORI  \$5,000-\$9,999	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET GAIN NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRAS SA ☐ FILER	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORI □ \$5,000-\$9,999  NA □ SPOUSE □ 10,000 OR MORI □ \$5,000-\$9,999  NA	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTIT  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET GAIN NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRASVTO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PETRLEO BRAS SA ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORI  \$5,000-\$9,999  NA  SPD  SPOUSE  100 TO 499  10,000 OR MORI  \$5,000-\$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999  E  \$10,000\$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D

and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE When reporting information about a dependent child's activity , indicate the child about whom you are reporting by

providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY		NATIONAL OIL WELL VARCO			
<sup>2</sup> STOCK HELD OR ACQUIRED BY		☑ FILER	SPOUSE	DEPENDENT CHI	LD
<sup>3</sup> NUMBER OF SHA	ARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999			
4 IF SOLD NET GAIN NET LOSS		LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTIT	Υ	NAME NEWMONT MINING CORP			
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	_D
NUMBER OF SHA	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		□ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	NAME			
**************************************		NVIDIA			
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	RES	LESS THAN 100	☑ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	NAME NYSE EURONEXT			
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	NET GAIN	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000-OR MORE
	NET LOSS				
BUSINESS ENTITY		OC. NS CORNING INC			
STOCK HELD OR ACQUIRED BY		✓ FILER	SPOUSE	DEPENDENT CHI	_D
NUMBER OF SHA	RES	LESS THAN 100	√ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000-OR MORE
	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

STOCK					PART 2
☐ NOT APPLIC	ABLE				
and indicate the cat	egory of the numbount of the net ga		cquired.If some or	all of the stock was	during the calendar yea sold, also indicate the n, see FORM PFS
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
<sup>1</sup> BUSINESS ENTIT	Υ	PEGASUS BANK	N/	AME	
<sup>2</sup> STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHA	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Υ	CLOROX CO DEL C		\ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	<b>✓</b> 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	00 TO 9,999		
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	EMERSON ELEC CO		AME	
STOCK HELD OR	ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	☑ 500 TO 999 E	☐ 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTIT	Y	TEXAS INSTRUMEN		AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	<b>✓</b> 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
BUSINESS ENTITY			N/	AME	
STOCK HELD OR	ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	personal and the second se	5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
				CESSARY	

BONDS, NOTES & O	THER COMMERCIAL PAPER	PART 3
☐ NOT APPLICABLE		
calendar year. If sold, indicate the information, see FORM PFS-INSTI When reporting information about	mmercial paper held or acquired by you, your spouse, or a dependent che category of the amount of the net gain or loss realized from the sale. RUCTION GUIDE.  a dependent child's activity , indicate the child about whom you are repthe child is listed on the Cover Sheet.	For more
1 DESCRIPTION OF INSTRUMENT	DALLAS TEX INDPT SCH	
<sup>2</sup> HELD OR ACQUIRED BY	☑ FILER ☐ SPOUSE ☐ DEPENDENT CHILD	
IF SOLD  NET GAIN  NET LOSS	☐LESS THAN \$5,000 ☐\$5,000—\$9,999 ☐\$10,000—\$24,999 ☐\$25,00	00OR MORE
DESCRIPTION OF INSTRUMENT	GILROY CALIF UNI SCH	
HELD OR ACQUIRED BY	☑ FILER ☐ SPOUSE ☐ DEPENDENT CHILD	<del></del>
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000-\$9,999 \$10,000-\$24,999 \$25,00	00-OR MORE
DESCRIPTION OF INSTRUMENT	CALIFORNIA ST VAR PURP	
HELD OR ACQUIRED BY	☑ FILER ☐ SPOUSE ☐ DEPENDENT CHILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000—\$9,999 \$10,000—\$24,999 \$25,00	00-OR MORE
COPY A	AND ATTACH ADDITIONAL PAGES AS NECESSARY	

BONDS, NOTES & O	THER COMME	RCIAL PAP	ER	PART 3
☐ NOT APPLICABLE				
List all bonds, notes, and other concalendar year. If sold, indicate the information, see FORM PFS-INSTI	category of the amoun			child during the For more
When reporting information about providing the number under which			child about whom you are r	eporting by
1 DESCRIPTION OF INSTRUMENT	TEXAS ST TPK AT CE	ENT TEX		
<sup>2</sup> HELD OR ACQUIRED BY	<b>☑</b> FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999 \$25	,000-OR MORE
☐ NET LOSS				
DESCRIPTION OF INSTRUMENT	MENLO PK CA CITY	SCH		
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	<b>\$5,000—\$9,999</b>	<b>\$10,000</b> — <b>\$24,999 \$25</b>	,000-OR MORE
DESCRIPTION OF INSTRUMENT	SCHWAB MONEY MA	ARKET FUND		
HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000—\$24,999  \$25	,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

MUTUAL FU	JNDS				PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the si	calendar year an hares of a mutual t	d indicate the category	of the number of dicate the category	shares of mutual fu	ependent child held or nds held or acquiredif e net gain or loss realize
		lependent child's active child is listed on the		child about whom yo	ou are reporting by
1 MUTUAL FUND		Van Kampen Mid Cap	NA Growth Fund A	ME	
<sup>2</sup> SHARES OF MUTU HELD OR ACQUIR		☑ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499	✓ 500 TO 999	1,000 TO 4,999
OF WOTOALT ONE	,	☐ 5,000 TO 9,999	10,000 OR MOR	E	
4 IF SOLD	NET GAIN  ✓ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		Van Kapen Mid Cap C		ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF		LESS THAN 100	☐ 100 TO 499	<b>☑</b> 500 TO 999	1,000 TO 4,999
OF WOTOALT GIVE		☑ 5,000 TO 9,999	☐ 10,000 OR MOR	:E	
IF SOLD	☐ NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		Davis NY Venture Fur		ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	100 TO 499	<b>✓</b> 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	NET GAIN  ✓ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	CESSARY	

**Texas Ethics Commission** 

MUTUAL FL	JNDS				PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the si	e calendar year an hares of a mutual f	d indicate the category	y of the number of dicate the category	shares of mutual fu	ependent child held or nds held or acquiredt e net gain or loss realized
		dependent child's active child is listed on the		child about whom yo	ou are reporting by
1 MUTUAL FUND		Davis NY Venture Fur		ME	
<sup>2</sup> SHARES OF MUTU HELD OR ACQUIRI		☑ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD	□ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		MFS Total Return Fun		ME	
SHARES OF MUTU HELD OR ACQUIRE		☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	100 TO 499 10,000 OR MOR	☐ 500 TO 999 E	✓ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	<b>▽</b> \$5,000—\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		MFS Total Return Fun		ME	
SHARES OF MUTU HELD OR ACQUIRE		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF OF MUTUAL FUND	·· -	LESS THAN 100	100 TO 499	□ 500 TO 999	✓ 1,000 TO 4,999
IF SOLD	NET GAIN  ✓ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS NE	CESSARY	

Austin, Texas 78711-2070

MUTUAL FL	JNDS				PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the s	e calendar year an hares of a mutual t	d indicate the category	y of the number of dicate the category	shares of mutual fu	ependent child held or nds held or acquiredif e net gain or loss realize
		dependent child's active child is listed on the		child about whom ye	ou are reporting by
1 MUTUAL FUND		Pioneer Mid Cap Valu		ME	
<sup>2</sup> SHARES OF MUTU HELD OR ACQUIR		☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	100 TO 499	☑ 500 TO 999	1,000 TO 4,999
4 IF SOLD	NET GAIN  ✓ NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	<b>3</b> \$10,000–\$24,999	\$25,000-OR MORE
MUTUAL FUND		Pioneer Mid Cap Valu		ME	
SHARES OF MUTU HELD OR ACQUIRI		FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	<b>✓</b> 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	<b>✓</b> \$10,000–\$24,999	\$25,000-OR MORE
MUTUAL FUND		American Funds: Inves		ME	
SHARES OF MUTU HELD OR ACQUIRE		FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100  5,000 TO 9,999	100 TO 499	□ 500 TO 999 E	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS NE	ECESSARY	

MUTUAL FU	JNDS				PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the sl	calendar year and hares of a mutual f	d indicate the category	of the number of dicate the category	shares of mutual fur	ependent child held or nds held or acquiredif e net gain or loss realize
When reporting info providing the numb	ormation about a d er under which the	ependent child's active child is listed on the (	ity ,indicate the c Cover Sheet.	child about whom yo	ou are reporting by
1 MUTUAL FUND		BlackRock Mid Cap	NAI	ME	
2 SHARES OF MUTU HELD OR ACQUIR		☑ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	✓ 1,000 TO 4,999
OF WOTOAL FOND	,	☐ 5,000 TO 9,999	10,000 OR MOR	<b>E</b>	
4 IF SOLD	□ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		Franklin Sm-Mid Cap	na: Gr	ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAP		LESS THAN 100	☑ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
OF MUTOALT ONL	,	☐ 5,000 TO 9,999	☐ 10,000 OR MOR	E	
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
MUTUAL FUND		Nuveen Large Cap Val		ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAP		LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
OF MOTORETONE	-	5,000 TO 9,999	10,000 OR MOR	E	
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	CESSARY	

MUTUAL FU	JNDS				PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the sl	calendar year and hares of a mutual f	d indicate the category	of the number of dicate the category	shares of mutual fur	ependent child held or nds held or acquiredt e net gain or loss realized
		lependent child's active child is listed on the (		child about whom yo	ou are reporting by
1 MUTUAL FUND		Oppenheimer Value C	NAI lass A	ME	
<sup>2</sup> SHARES OF MUTU HELD OR ACQUIRI		☑ FILER	SPOUSE	DEPENDENT CHIL	.D O.
3 NUMBER OF SHAF OF MUTUAL FUND		☐ LESS THAN 100 ☐ 5,000 TO 9,999	100 TO 499	☑ 500 TO 999 E	1,000 TO 4,999
4 IF SOLD	□ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
MUTUAL FUND		Oppenheimer Value C	NAI Lass B	ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	☑ 500 TO 999 E	1,000 TO 4,999
IF SOLD	☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND		Alliance Bernstein Sm		ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAP OF MUTUAL FUND		LESS THAN 100	100 TO 499	☑ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000-OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

**Texas Ethics Commission** 

MUTUAL FUNDS					PART 4
☐ NOT APPLIC	CABLE				
acquired during the some or all of the s	e calendar year and hares of a mutual f	d indicate the category	of the number of dicate the category	shares of mutual fu	ependent child held or nds held or acquiredt e net gain or loss realized
		lependent child's active child is listed on the (		child about whom yo	ou are reporting by
1 MUTUAL FUND		Putnam Vista Fund	NA NA	ME	
<sup>2</sup> SHARES OF MUTU HELD OR ACQUIR	i i	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
3 NUMBER OF SHAP OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	□ 500 TO 999	<b>✓</b> 1,000 TO 4,999
4 IF SOLD	□ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE
MUTUAL FUND			NA	ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHAP OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999 E	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000-OR MORE
MUTUAL FUND			NA	ME	
SHARES OF MUTU HELD OR ACQUIR		FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHAP OF MUTUAL FUND	-	LESS THAN 100	100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000-\$9,999	\$10,000-\$24,999	☐ \$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

Austin, Texas 78711-2070

P.O. Box 12070

INCOME FROM INTE	REST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
NOT APPLICABLE		100		
List each source of income you, you interest, dividends, royalties, and romore information, see FORM PFS-	ents during the calenda	r year and indicate th	in excess of \$500 that was derived from the category of the amount of the incom <b>E</b> or	
When reporting information about providing the number under which			child about whom you are reporting by	
SOURCE OF INCOME	GOLDEN ST	NAME AND	ADDRESS	
<sup>2</sup> RECEIVED BY	<b>✓</b> FILER	SPOUSE	DEPENDENT CHILD	
3 AMOUNT	\$500-\$4,999	\$5,000-\$9,999	\$10,000-\$24,999 \$25,000-OR MORE	
SOURCE OF INCOME	WINDSTREAM CORI		ADDRESS	
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	\$500-\$4,999	\$5,000—\$9,999	\$10,000-\$24,999 \$25,000-OR MORE	
SOURCE OF INCOME	MENLO PK CA CITY		ADDRESS	
RECEIVED BY		SPOUSE	DEPENDENT CHILD	
AMOUNT	\$500-\$4,999	\$5,000-\$9,999	\$10,000-\$24,999 \$25,000-OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

### 1-800-325-8506 P.O. Box 12070 (512) 463-5800 Austin, Texas 78711-2070 **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS** PART 5 ■ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the incomEor more information, see FORM PFS-INSTRUCTION GUIDE. When reporting information about a dependent child's activity , indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS SOURCE OF INCOME LOS ANGELES CA DEPT **RECEIVED BY** SPOUSE DEPENDENT CHILD \_\_\_\_\_ **V** FILER **AMOUNT** \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE \$500-\$4,999 NAME AND ADDRESS SOURCE OF INCOME TEXAS ST TPK AT CENT TEX **RECEIVED BY ✓** FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE \$500-\$4,999 NAME AND ADDRESS SOURCE OF INCOME GILROY CALIF UNI SCHOOL **RECEIVED BY** DEPENDENT CHILD \_\_\_ SPOUSE ✓ FILER **AMOUNT** \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE \$500-\$4,999

Austin, Texas 78711-2070 (512) 463-5800

1-800-325-8506

INCOME FROM INTE	REST, DIVIDE	NDS, ROYALTIES & RENTS	PART 5	
☐ NOT APPLICABLE				
List each source of income you, you interest, dividends, royalties, and romore information, see FORM PFS—	ents during the calend	ndent child received <i>in excess of \$500</i> that wa ar year and indicate the category of the amount of E.	s derived from of the incomEor	
When reporting information about providing the number under which		ctivity , indicate the child about whom you are ne Cover Sheet.	reporting by	
1 SOURCE OF INCOME	CALIFORNIA ST VA	NAME AND ADDRESS R PURP		
<sup>2</sup> RECEIVED BY	<b>✓</b> FILER	SPOUSE DEPENDENT CHILD		
3 AMOUNT	\$500-\$4,999	\$5,000-\$9,999 \$10,000-\$24,999 \$2	5,000-OR MORE	
SOURCE OF INCOME		NAME AND ADDRESS		
RECEIVED BY	FILER	SPOUSE DEPENDENT CHILD _		
AMOUNT	\$500-\$4,999	\$5,000—\$9,999 \$10,000—\$24,999 \$2	5,000OR MORE	
SOURCE OF INCOME		NAME AND ADDRESS		
RECEIVED BY	FILER	SPOUSE DEPENDENT CHILD		
AMOUNT	\$500-\$4,999	\$5,000—\$9,999 \$10,000—\$24,999 \$2	5,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

PERSONAL NOTES AND LEASE AGREEMENTS PART					
☐ NOT APPLICABLE					
Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability or more information, see FORM PFS-INSTRUCTION GUIDE.					
When reporting information about providing the number under which			child about whom yo	ou are reporting by	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Dallas National Bank (	(Note)			
<sup>2</sup> LIABILITY OF	FILER	SPOUSE	DEPENDENT C	HILD	
3 GUARANTOR					
4 AMOUNT	\$1,000-\$4,999	<b>\$5,000-\$9,999</b>	\$10,000-\$24,999	\$25,000OR MORE	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	U.S. Bank (Vehicle)				
LIABILITY OF	FILER	SPOUSE	DEPENDENT C	HILD	
GUARANTOR					
AMOUNT	\$1,000-\$4,999	\$5,000-\$9,999	\$10,000-\$24,999	\$25,000OR MORE	
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	South Dallas Developr	nent Fund			
LIABILITY OF	FILER	SPOUSE	☐ DEPENDEŅT C	HILD	
GUARANTOR					
AMOUNT	\$1,000—\$4,999	\$5,000-\$9,999	<b>\$10,000\$24,999</b>	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

INTERESTS IN REAL	. PROPERTY	PART <b>7A</b>
■ NOT APPLICABLE		
calendar year. If the interest was s	real property held or acquired by you, your spouse, or a dependent of the net gain or neterest" and other specific directions for completing this section	loss realized from theesa
When reporting information about providing the number under which	a dependent child's activity , indicate the child about whom the child is listed on the Cover Sheet.	you are reporting by
1 HELD OR ACQUIRED BY	FILER SPOUSE DEPENDENT	CHILD
2 STREET ADDRESS  ☐ NOT AVAILABLE  ✓ CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STA	TE
3 DESCRIPTION  LOTS  ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE  1 Lot Dallas County	LOCATED
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		
F SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999	9 S25,000-OR MORE
HELD OR ACQUIRED BY	FILER SPOUSE DEPENDENT	CHILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 1212 Regents Park Desoto, TX Dallas County	re
DESCRIPTION  LOTS  ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE I	OCATED
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999	\$25,000-OR MORE
COPY A	ND ATTACH ADDITIONAL PAGES AS NECESSARY	49.45

INTERESTS IN REAL	. PROPERTY	PART <b>7A</b>
■ NOT APPLICABLE		
calendar year. If the interest was s	real property held or acquired by you, your spouse, old, also indicate the category of the amount of the neterest" and other specific directions for completing the	t gain or loss realized from theesa
When reporting information about providing the number under which	a dependent child's activity , indicate the child about the child is listed on the Cover Sheet.	it whom you are reporting by
1 HELD OR ACQUIRED BY	✓FILER SPOUSE DEF	PENDENT CHILD
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUN 1537 Pleasant Run Desoto, TX Dallas County	TY, AND STATE
3 DESCRIPTION  LOTS  ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COU  5 acres Dallas County	NTY WHERE LOCATED
A NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		
F SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000\$9,999 \$10,00	0\$24,999
HELD OR ACQUIRED BY	☐FILER ☐ SPOUSE ☐ DEF	ENDENT CHILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUN	ry, and state
DESCRIPTION  LOTS  ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUN	ITY WHERE LOCATED
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000 \$5,000-\$9,999 \$10,000	0\$24,999
COPY A	ND ATTACH ADDITIONAL PAGES AS NECESS	GARY

	D. Box 12070 Austin, Texas		(512)463-5800	1-800-325-850
INTERESTS IN BU	SINESS ENTITIES	6		PART 7B
Describe all beneficial interests calendar year. If the interest we for an explanation of beneficial INSTRUCTION GUIDE  When reporting information abortoning the number under whether the second interests in the second interest in the seco	as soid, also indicate the cate al interest and other specific of the court and dependent child's activitions.	egory of the amount lirections for comple y, indicate the child	of the net gain or loss realized eting this section, see FORM F	d from the sale. PFS
1 HELD OR ACQUIRED BY	I FILER	SPOUSE	DEPENDENT CHILD	-
<sup>2</sup> DESCRIPTION	West & Gooden, P.C		D ADDRESS 's Home Address)	
	320 S.R.L. Thornton Suite 300 Dallas, TX 75203			
3 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25	,000-OR MORE
HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
DESCRIPTION	Reach Media, Inc 13760 Noel Dallas, TX 75240	NAME AND		
IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,	000-OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
DÉSCRIPTION	Skyview Development LLC 320 S.R.L. Thornton	NAME AND A	ADDRESS Home Address)	

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

IF SOLD

☐ NET GAIN

☐ NET LOSS

Texas Ethics Commission P.O.	D. Box 12070 Austin, Texas	78711-2070	(512)463	3-5800 1-800-325-850
INTERESTS IN BUS				PART 7B
☐ NOT APPLICABLE		•		
Describe all beneficial interests calendar year. If the interest wa For an explanation of 'beneficial INSTRUCTION GUIDE	as soid, also indicate the cate	egory of the amount	of the net gain or loss	realized from the cale
When reporting information abo providing the number under whi	ut a dependent child's activity ich the child is listed on the C	y, indicate the child cover Sheet.	about whom you are r	eporting by
1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>2</sup> DESCRIPTION			O ADDRESS 's Home Address)	
	Radio One	<b></b>	,	
	5900 Princess Garden Park 7th Floor Lanham, MD	way		
<sup>3</sup> IF SOLD				
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
HELD OR ACQUIRED BY		SPOUSE	☐ DEPENDENT CHILI	D
DESCRIPTION		NAME AND		
	West & Associates LLP	<del>-</del> `		
	320 S.R.L. Thornton Freewa Suite 300 Dallas, TX 75203	ıy		
IF SOLD				
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	D
DESCRIPTION		NAME AND A	ADDRESS Home Address)	
	Royce West & Associates P.	-	,	
	320 S.R.L. Thornton Freeway Suite 300 Dallas, TX 75203	y y		

IF SOLD

☐ NET GAIN

☐ NET LOSS

TX-PFS Software Version 1.0.8

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000-OR MORE

rexas Etnics Commission	P.O. Box 12070	Austin, Texas		(512)463-5	800 1-800-325-850
ASSETS OF B	USINESS ASS	SOCIATION	ONS		PART 11A
☐ NOT APPLICABLE					
dent child held, acquired of the assets. For more When reporting informa providing the number un	d, or sold 50 percent or information, see FORM tion about a dependent	more of the o	utstanding own RUCTION GUID	, limited liability partnership, iation in which you, your spo ership and indicate the cate DE. hild about whom you are rep	use, or a depen- gory of the amount
1 BUSINESS ASSOCIATION	West & Associates LLF	NAME A	ND ADDRESS	(Check if Filer's Home Address	)
	320 S.R.L. Thornton Fr Dallas, TX 75203	reeway			
<sup>2</sup> BUSINESS TYPE	Limited Lability Partner	ship			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FILE	R	SPOUSE	DEPENDENT CHILD	
<sup>4</sup> ASSETS	Property/Equipment	DESCRIPTION		CATI	EGORY
	· roporty/Equipment			LESS THAN \$5,000	\$5,000 - \$9,999
		• • • • • • • •		\$10,000 - \$24,999	☐ \$25,000OR MORE
	Office Furniture				• • • • • • • • • • • • • • • • • • • •
				☑ LESS THAN \$5,000	\$5,000 - \$9,999
			• • • • • • • •	\$10,000 - \$24,999	\$25,000-OR MORE
	Computer Equipment				
				LESS THAN \$5,000	\$5,000 - \$9,999
			• • • • • • • • •	X \$10,000 - \$24,999	\$25,000-OR MORE
·					
	COPY AND ATTA	CUADDITI			
	COPY AND ATTA	CH ADDITIO	NAL PAGES A	AS NECESSARY	

	Texas Ethics Commis	ssio
I	ASSETS	∩F

P.O. Box 12070

Austin, Texas 78711-2070

(512)463-5800

1-800-325-8506

ASSETS OF B	USINESS ASSOCIAT	IONS	(312)403-30	PART 11A
☐ NOT APPLICABLE				
dent child held, acquired of the assets. For more When reporting information	ach coporation, firm, partnership, lin al association, joint venture, or other d, or sold 50 percent or more of the e information, see FORM PFSINST tion about a dependent child's activi nder which the child is listed on the (	r business association outstanding ownershing TRUCTION GUIDE.	n in which you, your spor ip and indicate the categ	use, or a depen- gory of the amount
1 BUSINESS ASSOCIATION			(Check if Filer's Home Address)	)
	320 S.R.L. Thornton Freeway Dallas, TX 75203		·	
<sup>2</sup> BUSINESS TYPE	Professional Corporation			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	)
4 ASSETS	DESCRIPTION Property/Equipment		CATI	EGORY
			LESS THAN \$5,000	
	l		1 \$10,000 - \$24,999	\$25,000—OR MORE
	COPY AND ATTACH ADDITE	IONAL PAGES AS		

- Oxas Earnes Commission	P.O. Box 1207			(512)463-5	800 1-800-325-850
ASSETS OF E	BUSINESS	<b>ASSOCIAT</b>	IONS		PART 11A
☐ NOT APPLICABL	E				
dent child held, acquire of the assets. For more When reporting informa providing the number u	d, or sold 50 perce information, see ition about a depe	cent or more of the FORM PFS-INST	outstanding owner RUCTION GUIDE	imited liability partnership, tion in which you, your spo ship and indicate the cateo Id about whom you are rep	use, or a depen- yory of the amount
1 BUSINESS ASSOCIATION	Royce West & A	NAME. Associates P.C.	AND ADDRESS	Check if Filer's Home Address	
	320 S.R.L. Thor Suite 300 Dallas, TX 7520				
<sup>2</sup> BUSINESS TYPE	Professional Co	rporation			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	C	X FILER	SPOUSE	DEPENDENT CHILD	
4 ASSETS	No Assets	DESCRIPTION		CATE	GORY
				☑ LESS THAN \$5,000	<b>55,000 - \$9,999</b>
				10,000 - \$24,999	☐ \$25,000-OR MORE
			•••••	• • • • • • • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •
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	COPY AND	ATTACH ADDITION	DNAL PAGES AS	NECESSARY	

_	USINESS ASSOCIAT	IONS		PART 11A
☐ NOT APPLICABLE				
dent child held, acquired of the assets. For more When reporting information	ach coporation, firm, partnership, lin al association, joint venture, or other d, or sold 50 percent or more of the information, see FORM PFSINST tion about a dependent child's activing ader which the child is listed on the	business association outstanding ownersh RUCTION GUIDE.  itv. indicate the child	n in which you, your spor ip and indicate the categ	use, or a depen- ory of the amount
1 BUSINESS ASSOCIATION	NAME Skyview Development LLC	AND ADDRESS	(Check if Filer's Home Address)	
	320 S.R.L. Thornton Freeway Dallas, TX 75203			
<sup>2</sup> BUSINESS TYPE	Real Estate Development: Limited L	ability Company		
HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ASSETS	DESCRIPTION Building		CATE LESS THAN \$5,000 1 \$10,000 - \$24,999	GORY \$5,000 - \$9,999
	l	• • • • • • • • • • • • • • • • • • • •	1 [ 410,000 - \$24,999	<b>☒</b> \$25,000—OR MOF
		<b>ģ</b> :		
i e e e e e e e e e e e e e e e e e e e				

Texas Ethics Commission  LIABILITIES C	P.O. Box 12070 Austin, Tex	as 78711-2070	(512)463-5800	
☐ NOT APPLICABLE		JATIONS		PART 11B
dent child held, acquire of the liabilities. For mo When reporting informa	f each coporation, firm, partnership al association, joint venture, or othe d, or sold 50 percent or more of the pre information, see FORM PFS—IN tion about a dependent child's action ander which the child is listed on the	er business associ e outstanding own NSTRUCTION GU	ation in which you, your spouse ership and indicate the category	e, or a depen- y of the amount
1 BUSINESS ASSOCIATION		E AND ADDRESS	(Check if Filer's Home Address)	
	320 S.R.L. Thornton Freeway Dallas, TX 75203			
<sup>2</sup> BUSINESS TYPE	Limited Lability Company			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	☑ FILER	☐ SPOUSE	DEPENDENT CHILD	
<sup>4</sup> LIABILITIES	DESCRIPTION Debt		CATEGO	PRY
			LESS THAN \$5,000	\$5,000 - \$9,999
*		• • • • •	<b>1</b>	\$25,000-OR MORE
	Tenant Deposits			• • • • • • • • • • • • • • • • • • • •
			LESS THAN \$5,000	\$5,000 - \$9,999
		• • • • • • • • • • •	1 \$10,000 - \$24,999	\$25,000-OR MORE
		•		

Texas Ethics Commission		n, Texas 78711-2070	(512)463-580	0 1-800-325-8
LIABILITIES O	OF BUSINESS AS:	SOCIATIONS		PART 11B
dent child held, acquired of the liabilities. For mo When reporting information	d, or sold 50 percent or more	of the outstanding own FS-INSTRUCTION GU  s activity, indicate the	nip, limited liability partnership, viation in which you, your spous nership and indicate the catego JIDE. child about whom you are repor	se, or a depen- ry of the amount
1 BUSINESS ASSOCIATION	West & Associates LLP 320 S.R.L. Thornton Suite 300	NAME AND ADDRESS	(Check if Filer's Home Address)	
2	Dallas, TX 75203			
<sup>2</sup> BUSINESS TYPE	Limited Lability Partnership			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FILER	☐ SPOUSE	DEPENDENT CHILD	
4 LIABILITIES	DESCRII Client Trust Acct	PTION	CATEG	ORY
			LESS THAN \$5,000 [ \$10,000 - \$24,999 [	☐ \$5,000 - \$9,999 ☑ \$25,000–OR MORI

### **BOARDS AND EXECUTIVE POSITIONS** PART 12 ☐ NOT APPLICABLE List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. <sup>1</sup> ORGANIZATION West & Gooden P.C. <sup>2</sup> POSTITION HELD President 3 POSITION HELD BY X FILER SPOUSE DEPENDENT CHILD Tom Joyner Foundation, Inc. **ORGANIZATION** Secretary **POSTITION HELD** POSITION HELD BY X FILER ☐ SPOUSE DEPENDENT CHILD Skyview Development LLC ORGANIZATION **POSTITION HELD** President POSITION HELD BY X FILER SPOUSE ☐ DEPENDENT CHILD Reach Media, Inc **ORGANIZATION** Secretary **POSTITION HELD** POSITION HELD BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ West & Associates **ORGANIZATION** Managing Partner POSTITION HELD POSITION HELD BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_ COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

<b>EXPENSES ACCEPT</b>	ED UNDER HONORARIUM EXCEPTION PART 13
NOT APPLICABLE	
of the Penal Code, in connection waudience or participating in a semi transportation, meals, or lodging. Yon a campaign finance report, or experience of the participation of the property of the participation of the property of the participation of the property of the participation	ou with necessary transportation, meals, or lodging, as permitted under section 36.07(b) rith a conference or similar event in which you rendered services, such as addressing an nar, that were more than perfunctory. Also provide the amount of the expenditures on ou are not required to include items you have already reported as political contributions expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the mation, see FORM PFSINSTRUCTION GUIDE
1	NAME AND ADDRESS
PROVIDER	Edvance Research, Inc 9901 IH-10 West #1000 San Antonio, TX 78230
<sup>2</sup> AMOUNT	352.10
PROVIDER	NAME AND ADDRESS
PROVIDER	Prairie View AM University
	FM 1098Rd & University Drive Prarie View, Texas 77446
AMOUNT	483.90
DD0.//DED	NAME AND ADDRESS
PROVIDER	American Conference Institute 41 West 25th Street 9th Floor New York, NY
AMOUNT	500.00
DDOVIDED.	NAME AND ADDRESS
PROVIDER	UNT @ Dallas 7300 Houston School Rd Dallas, TX 75241
AMOUNT	702.20
COPY A	AND ATTACH ADDITIONAL PAGES AS NECESSARY

EXPENSES ACCEPT	ED UNDER HONORARIUM EXCEPTION PART 13
NOT APPLICABLE	
of the Penal Code, in connection w audience or participating in a semir transportation, meals, or lodging. Yo on a campaign finance report, or ex	ou with necessary transportation, meals, or lodging, as permitted under section 36.07(b) ith a conference or similar event in which you rendered services, such as addressing a nar, that were more than perfunctory. Also provide the amount of the expenditures on ou are not required to include items you have already reported as political contributions of the expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the mation, see FORM PFSINSTRUCTION GUIDE
1 PROVIDER	NAME AND ADDRESS
FROVIDEN	US Green Building Council 2101 L St NW, Suite 500 Washington, DC
<sup>2</sup> AMOUNT	815.20
PROVIDER	NAME AND ADDRESS
PROVIDER	
AMOUNT	
	NAME AND ADDRESS
PROVIDER	
AMOUNT	
PROVIDER	NAME AND ADDRESS
THOUBER	
AMOUNT	
COPY A	ND ATTACH ADDITIONAL PAGES AS NECESSARY

# FEES RECEIVED FOR SERVICES RENDERED

**PART 15** 

TO A LOBBYIST OR L  NOT APPLICABLE	LOBBYIST'S EMPLOYER
chapter 305 of the Government Code, sates or reimburses a person require	viding services to or on behalf of a person required to be registered as a lobbyist under, or for providing services to or on behalf of a person you actually know directly compensed to be registered as a lobbyistReport the name of each person or entity for which the each category of the amount of each fee. For more information, see FORM PFS—
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Texas Instruments
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000-\$24,999 ✔ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Countywide Financial Corporation
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☑ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Linebarger, Goggan Blair & Sampson
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ✓ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Wells Fargo Bank NA
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☑ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Baylor Health Care Systems
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000-\$9,999 ☐ \$10,000-\$24,999 ☑ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	First Southwest Company
FEE CATEGORY	LESS THAN \$5,000 \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE
COPY AN	ND ATTACH ADDITIONAL PAGES AS NECESSARY

## FEES RECEIVED FOR SERVICES RENDERED

PART 15

TO A LOBBYIST OR L	OBBYIST'S EMPLOYER
chapter 305 of the Government Code, sates or reimburses a person require	riding services to or on behalf of a person required to be registered as a lobbyist under or for providing services to or on behalf of a person you actually know directly compended to be registered as a lobbyistReport the name of each person or entity for which the the the category of the amount of each fee. For more information, see FORM PFS—
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Bank of America
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000-\$9,999 ☐ \$10,000-\$24,999
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Dallas Independent School District
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☑ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Citigroup Global Market, Inc
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☑ \$10,000\$24,999 ☐ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Dallas
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000—\$9,999 ☐ \$10,000—\$24,999 ☑ \$25,000—OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Dallas Area Rapid Transit
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000—\$9,999 ☐ \$10,000—\$24,999 ☑ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000\$9,999 ☐ \$10,000\$24,999 ☐ \$25,000OR MORE
COPY AN	ID ATTACH ADDITIONAL PAGES AS NECESSARY

### PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

□ N/A	Part 1A - Sources of Occupational Income
□ N/A	Part 1B - Retainers
□ N/A	Part 2 - Stock
□ N/A	Part 3 - Bonds, Notes & Other Commercial Paper
□ N/A	Part 4 - Mutual Funds
□ N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
□ N/A	Part 6 - Personal Notes and Lease Agreements
□ N/A	Part 7A - Interests in Real Property
□ N/A	Part 7B - Interests in Business Entities
⊠ N/A	Part 8 - Gifts
⊠ N/A	Part 9 - Trust Income
⊠ N/A	Part 10A - Blind Trusts
⊠ N/A	Part 10B - Trustee Statement
□ N/A	Part 11A - Assets of Business Associations
□ N/A	Part 11B - Liabilities of Business Associations
□ N/A	Part 12 - Boards and Executive Positions
□ N/A	Part 13 - Expenses Accepted Under Honorarium Exception
□ N/A	Part 14 - Interest in Business in Common with Lobbyist
□ N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
⊠ N/A	Part 16 - Representation by Legislator Before State Agency
⊠ N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
⊠ N/A	Part 18 - Legislative Continuances

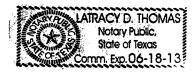
#### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The verification page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2009, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.

> > Signature of Filer

AFFIX NOTARY STAMP / SEAL ABOVE



Sworn to and subscribed before me, by the said $\frac{1}{10000000000000000000000000000000000$	West this the 28	34h day of
10	ss my hand and seal of office.	aa, o,